

01-14-02

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A-70219-1/RMS/DHR

"EXPRESS MAIL" MAILING LABEL

NUMBER EL 735049391 USDATE OF DEPOSIT 10 January 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME DARRYL KRINERSIGNED 10/043649  
10/10/02

10/10/02

Anticipated Classification of this Application:

Class:

Subclass:

Prior Application

Examiner:

Art Unit:

**Box PATENT APPLICATION**Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a request for filing an

- ☐ Original  
☐ Continuation  
☐ Divisional  
☒ Continuation-in-part

application under 37 C.F.R. 1.53(b), in the name of

Sacha J. HOLLAND; March K. MENDENHALL; Xiulan ZHOU; Jorge PARDO;  
 Collin SPENCER; Alan FU; Ning SHENG; Mark SHEN; X. Charlene LIAO;  
 Ying LUO; Donal G. PAYAN; Helen S.Y. MANCEBO; Jun WU  
 (Names of ALL Applicants)

CLONING OF A NOVEL INHIBITOR OF ANTIGEN-RECEPTOR SIGNALING  
 BY A RETROVIRAL-BASED FUNCTIONAL SCREEN

for \_\_\_\_\_  
 (Title of Invention)

This \_\_\_\_\_ continuation \_\_\_\_\_ divisional \_\_\_\_\_ continuation-in-part

claims priority to pending application Serial No. 60/260,953, filed on 10 January 2001.

- (a) ☒ Enclosed is a new application.

(b) ☐ Enclosed is a continuation-in-part application.

(c) ☐ Enclosed is a copy of the prior application.
- (a) ☐ Enclosed is a new Declaration.

(b) ☐ Enclosed is a copy of the prior Declaration as originally filed.
- (a) ☒ Applicant claims Small Entity status under 37 CFR 1.27.

(b) ☐ A Small Entity Affidavit is of record in the prior application.
- ☐ The filing fee is calculated below:

Claims as filed in the prior application, less any claims canceled by amendment below:

	(Col. 1)	(Col. 2)	SMALL ENTITY		OTHER THAN SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
<b>BASIC FEE</b>				<b>\$370</b>		<b>\$740</b>
TOTAL CLAIMS	- 20 =	*	x 9 =	\$	x 18 =	\$
INDEP CLAIMS	- 3 =	*	x 42 =	\$	x 84 =	\$
MULTIPLE DEPENDENT CLAIM PRESENTED	yes	no	+140 =	\$	+280 =	\$
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL	\$	TOTAL	\$

5. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. \_\_\_\_\_).
6. ☐ Our check in the amount of \$\_\_\_\_\_ is enclosed.  
☒ The filing fee is NOT being submitted with this transmittal letter.
7. ☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
8. ☒ Amend the specification by inserting before the first line the sentence:  
 --This is a ☐ continuation ☐ divisional ☒ continuation-in-part  
 of application Serial No. 60/260,953 filed 10 January 2001.--
9. (a) ☒ 24 Sheets Informal drawings are enclosed.  
 (b) ☐ Formal drawings are enclosed.
10. (a) ☒ Priority of application Serial No. 60/260,953 filed on 10 January 2001 in the United States of America is claimed under 35 U.S.C. 119/120.  
 (b) ☐ The certified copy has been filed in prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
11. ☐ The prior application is assigned of record to \_\_\_\_\_
12. ☐ The power of attorney in the prior application is to:  
 Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_
- (a) ☐ The power appears in the original papers in the prior application.  
 (b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
 (c) ☐ A new power has been executed and is enclosed.  
 (d) ☐ Address all future communications to:

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
 Four Embarcadero Center - Suite 3400  
 San Francisco, California 94111-4187  
 Tel.: (415) 781-1989  
 Fax: (415) 398-3249

13. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
14. ☐ I hereby verify that the attached papers are a true duplicate of prior application Serial No. \_\_\_\_\_ as originally filed on \_\_\_\_\_.

Date: 1/10/02

Signature: \_\_\_\_\_

Robin M. Silva, Reg. No. 38,304

Address of Signer:

FLEHR HOHBACH TEST  
 ALBRITTON & HERBERT LLP  
 4 Embarcadero Center - Suite 3400  
 San Francisco, California 94111-4187  
 Tel.: (415) 781-1989  
 Fax: (415) 398-3249

☐ Attorney or agent of record  
☒ Filed under Section 1.34(a)